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# UTILITY PATENT APPLICATION TRANSMITTAL

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Attorney Docket No. 8151-24A

First Inventor or Application Identifier: Hanley, Jr. et al.

Title of Invention: METHOD FOR PRODUCING HUMAN  
INTERVERTEBRAL DISC CELLS

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Inventors: Edward Nathaniel Hanley, Jr.; Helen Elizabeth Gruber

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BASIC FEE				\$345		\$0
TOTAL CLAIMS:	34 - 20 =	14		X 9 = \$126		x 18 = \$0
INDEP CLAIMS:	5 - 3 =	2		X 39 = \$78		x 78 = \$0
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2. ☒ Specification; Total Pages 25  
3. ☒ 7 Sheets of Formal Drawing(s) (35 USC 113)

4. ☒ Declaration and Power of Attorney; [Total Pages 3]
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- i. ☐ DELETION OF INVENTOR(S) Signed statement  
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5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
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#### ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s) (including a check for  
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8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee); ☐ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449; 28 copies of IDS Citations
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16. **If a CONTINUING APPLICATION**, check appropriate box and supply the requisite  
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of prior Application No: 08/979,674; Filed 11/26/97

Prior Application Information: Examiner 1633      Group/Art Unit: J. Kerr

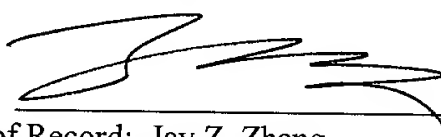
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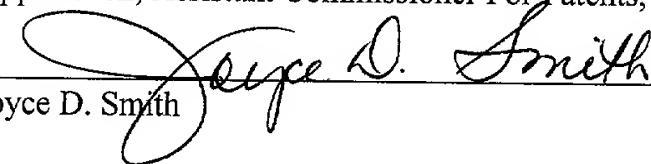
  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney's Docket No. 8151-24A

Applicant, Patentee, or Identifier: Hanley, Jr. et al.

Filed or Issued: Concurrently herewith

Title: METHOD FOR PRODUCING HUMAN INTERVERTEBRAL DISC CELLS

STATEMENT CLAIMING SMALL ENTITY STATUS  
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NAME OF PERSON SIGNING: James G. Martin

TITLE IN ORGANIZATION OF PERSON SIGNING: Vice President, Research  
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SIGNATURE: James G. Martin      DATE: April 24, 2000  
James G. Martin

#4419636v1

# METHOD FOR PRODUCING HUMAN INTERVERTEBRAL DISC CELLS

## RELATED U.S. APPLICATIONS

This application is a continuation-in-part of and claims priority from U.S.  
5 Patent Application Serial No. 08/979,674, which is incorporated herein by reference in its  
entirety.

## BACKGROUND OF THE INVENTION

This invention relates to a method for producing human intervertebral disc  
10 cells. More particularly, this invention relates to methods for growing intervertebral cells  
in monolayers cultures followed by three-dimensional growth of cells.

In spite of the large health care costs associated with degenerative disc  
disease, the cell biology of the human intervertebral disc cell has been neglected compared  
to the knowledge available on chondrocytes or bone cell populations. Recent studies have  
15 presented data on the formation and turnover of matrix *in situ* in the human disc.  
Antoniou, J., Steffen, T., Nelson, F., Winterbottom, N., Hollander, A.P., Poole, R.A.,  
Aebi, M., Alini, M., "The human lumbar intervertebral disc-Evidence for changes in the  
biosynthesis and denaturation of the extra cellular matrix with growth, maturation, ageing,  
and degeneration," *J. Clin. Invest.* 98, 996-1003 (1996). Growth of the young disc  
20 showed active matrix formation and denaturation of Type II collagen; aging and  
maturation were associated with decreased matrix synthesis and reduced denaturation of  
Type II collagen. Degenerative stages showed decreased aggrecan and Type II  
procollagen formation and increased Type II denaturation and Type I collagen synthesis.  
Successful isolation and in vitro growth of disc cells under experimental conditions can be  
25 a valuable tool for clarification of the cellular mechanisms involved in these observed  
matrix changes.

Studies of human disc cells cultured in alginate beads have provided  
evidence that more than one distinctive cell population resides in the disc.

Chelberg, M.K., Banks, G.M., Geiger, D.F., Oegema, T.R., "Identification of heterogeneous cell populations in normal human intervertebral disc," *J Anat*, 186, 43-53 (1995). Others have used the alginate bead technique to study canine disc cells in culture. Maldonado, B.A., Oegema, T.R., "Initial characterization of the metabolism of intervertebral disc cells encapsulated in microspheres," *J Orthopaedic Res*, 10, 677-690 (1992). Cells from the rat disc have been grown in monolayer culture. Ichimura, K., Tsuji, H., Matsui, H., Makiyama, N., Cell culture of the intervertebral disc of rats: Factors influencing culture, proteoglycan, collagen, and deoxyribonucleic acid synthesis," *J Spinal Disorders*, 4, 428-436 (1991).

Three-dimensional cell culture is a preferred culture method for chondrocytes, a cell type similar to at least some members of the disc cell population, and is known to de-differentiate in monolayer culture and reexpress a characteristic Type II collagen extracellular matrix production when placed in agarose culture. Benya, P.D., Shaffer, J.D., "Dedifferentiated chondrocytes reexpress the differentiated collagen phenotype when cultures in agarose gels," *Cell*, 30, 215-224 (1982).

It is an object of the present invention to produce human intervertebral cells in monolayer explant cultures.

Another object of the present invention is to produce human intervertebral cells in a three-dimensional cultures.

#### SUMMARY OF THE INVENTION

The present invention provides methods for propagating human intervertebral disc cells *in vitro* and treating human intervertebral disc diseases using the *in vitro* expanded disc cells.

In accordance with a first aspect of this invention, intervertebral disc cells obtained from a human are cultured *in vitro* in the presence of a cell stimulant such as a growth factor, or a cytokine or a bioactive agent to form monolayer cultures. In the case of a growth factor, fetal bovine serum is preferred as it improves cell proliferation and production of appropriate extracellular matrix components. Preferably, an explant is first obtained from a human disc specimen, and cultured in cell culture media with a plastic mesh as an anchor. The cells in the monolayer culture are capable of continuously

proliferating and passaging for generations.

5 In accordance with a second aspect of this invention, the monolayer primary cell cultures may be seeded into a carrier such as alginate, agarose, collagen, and the like, and cultured in the carrier to form a three-dimensional structure. Typically, cell culture media, and optionally, cell stimulants such as fetal bovine serum, growth factors and the like are added to the three-dimensional structure such that the cells further proliferate and re-express extracellular matrix materials.

10 In accordance with another aspect of the present invention, a method for treating intervertebral disc diseases in a human patient is provided by implanting the cultured human intervertebral disc cells of the present invention into the site to be treated. In one embodiment, healthy disc specimens are obtained from the patient to be treated. The disc cells are cultured and propagated in a monolayer culture and/or three-dimensional structure in accordance with the cell culture methods of this invention. Damaged disc tissue in the patient can be removed, and the cultured disc cells are  
15 implanted to the area where the damaged disc tissue has been removed. Typically, an implantation carrier, preferably a bioresorbable carrier, is employed for purpose of implanting the cultured cells. The implantation can be performed by conventional surgical methods known in the art.

20 The method can be effective in treating various human intervertebral disc diseases such as idiopathic scoliosis, disc herniation, disc degeneration, and spinal stenosis.

#### BRIEF DESCRIPTION OF THE DRAWINGS

25 Figure 1 is a schematic illustration of one embodiment of the method of treating disc disease of the present invention;

Figure 2A is a phase-contrast photomicrograph of cells growing from a disc explant fragment onto a nylon mesh (X130);

Figure 2B is a scanning electron micrograph of cells spreading and extending processes onto the mesh (bar at the upper left, 10  $\mu$ m);

30 Figure 3A shows cells grown in a three-dimensional environment;

Figure 3B is an electron microscopic examination of matrix material;



Figure 4 is a photomicrograph of immunohistochemical localization of extracellular matrix products around first passage cells grown in agarose from a 24-year old normal subject;

Figure 5A presents pooled data for Type I collagen and Type II collagen;

5 Figure 5B presents pooled data for 4-S chondroitin sulfate and keratin sulfate;

Figure 6A is a photomicrograph (x94) illustrating localization of engrafted cells labeled with BrdU from Example 4;

10 Figure 6B is a photomicrograph (x485) showing a magnified view of a portion of Figure 6A;

Figure 7A and 7B are additional photomicrographs from the specimen described in Example 4 taken from a deeper level of the tissue (Figure 7A, X 94; Figure 7B, X 485); and

15 Figure 8 is a radiograph of the sand rat spine described in Example 5, which was obtained at time of euthanization.

#### DETAILED DESCRIPTION OF THE INVENTION

20 The present invention now will be described more fully hereinafter with reference to the accompanying drawings, in which preferred embodiments of the invention are shown. This invention may, however, be embodied in many different forms and should not be construed as limited to the embodiments set forth herein; rather, these embodiments are provided so that this disclosure will be thorough and complete, and will fully convey the scope of the invention to those skilled in the art.

25 The present invention is directed to a method for producing human intervertebral cells which are intended for implantation into a patient. It is important that the cells implanted into the patient be grown from the patient's own cells. The present invention in one embodiment provides growing intervertebral cells by feeding with a cell stimulant or merely using an expanded number of cells from monolayer explant culture (primary culture). In another embodiment, this invention provides seeding cells from the  
30 primary culture into three-dimensional growth environments of alginate or agarose and continued feeding with cell culture media. As shown in Figure 1, disc tissue is surgically

removed from a normal disc of a patient, the cells expanded by feeding with a cell stimulant on a plastic mesh such as a nylon mesh, grown in a three-dimensional microenvironment with cell stimulants such as growth factors and bioactive agents to re-express phenotype, placed in a carrier material or injected as a cell suspension and  
5 inserted into a site with disc degeneration in that same patient.

**Production Of a Monolayer Culture (Primary Culture)**

Disc tissue is derived from surgical disc procedures performed on individuals with idiopathic scoliosis, herniated disc, degenerative disc disease, recurrent  
10 disc herniation or spinal stenosis and the like. Healthy disc tissue may be obtained by any of the known surgical procedures and placed in a sterile medium and incubated with fungizone as a precaution against contamination. The healthy disc tissue is rinsed with phosphate buffered saline. Regions of annulus and nucleus are visually identified and representative pieces of annulus and nucleus are dissected. Those designated for culturing  
15 cells are further examined and any cartilaginous or vascular regions are carefully removed. The disc tissue to be cultured is minced into 1-2 mm square pieces, again rinsed with saline to remove clots or residual debris, placed into culture dishes and anchored by placement of a sterile nylon mesh over the minced explant. Use of the nylon mesh  
anchoring technique for explants not only simplifies the feeding of cultures by anchoring  
20 fragments and thus preventing loss of fragments during cell feeding, but also provides a substrate for cell outgrowth.

To the designated cells is added Minimal Essential Medium (MEM, Gibco) with Earle's salts, 1% L-glutamine, 1% non-essential amino acids, 1% penicillin-streptomycin and about 20% (v/v) of a cell stimulant, such as a growth factor, or a  
25 cytokine or a bioactive agent. Fetal calf serum and fetal bovine serum (Gibco, Grand Island, N.Y.) are preferred. Other cell stimulants include, for example, transforming growth factor beta (TGF- $\beta$ ), insulin-like growth factor I, insulin-like growth factor II, basic fibroblast growth factor, acidic fibroblast growth factor, platelet-derived growth factor, serum, insulin, human recombinant bone morphogenetic protein 2, Vitamin D,  
30 1,25-dihydroxyvitamin D, and other forms of bone morphogenetic protein or ITS (insulin-transferrin-selenium). Serum may or may not be present during feeding with the cell

stimulant. Cells may also be grown in the Hams medium with addition of previously mentioned agents.

The primary cultures are grown at a temperature of about 37°C. Normally, the primary cultures are grown at high humidity conditions under a blanket of CO<sub>2</sub>. These cultures are fed with the cell stimulant every two days. When primary cultures show a confluent outgrowth of cells from the nylon mesh, the cultures are trypsinized. It has been found that cell viability may average 96% on a monolayer culture.

### **Three-Dimensional Cell Growth**

Following trypsinization, cells established in monolayer primary cultures may be seeded into a carrier material such that the cells are dispersed and distributed in the carrier material forming a three-dimensional structure. Alternatively, frozen cells derived from the monolayer human intervertebral disc cell culture of the present invention can also be seeded. Any suitable carrier materials known in the art can be used. The carrier material should be selected such that the disc cells can proliferate and re-express extracellular matrix materials. Typically, a polymerizable material is used. Preferably, alginate, agarose, collagen, or a derivative or a mixture thereof is used as carrier material. Although not required, it is preferable that the carrier material is bioresorbable, i.e., when implanted in the body of a mammal, it is capable of being absorbed by tissues of the body. As used herein, "carrier material" also means compositions containing alginate, and/or agarose, and in addition, some other compatible components such as buffer ingredients, growth factors, cytokines, serum, and the like. Typically, after forming the three-dimensional structure, the dispersed cells are embedded in the three-dimensional structure. To culture the cells within the three-dimensional structure, cell culture medium including growth factors, buffering agents, and the like is fed to the cells. For example, the three-dimensional structure can be immersed in a suitable cell culture medium which is changed regularly. The medium should be in intimate contact with the three-dimensional structure such that the medium can penetrate the three-dimensional structure to supply sufficient nutrition to the embedded cells.

Thus, a three-dimensional human disc cell culture can be established containing human intervertebral disc cells embedded in a carrier material forming a three-

dimensional structure. In accordance with the present invention, the human disc cells seeded in the three-dimensional structure are capable of proliferating and/or re-expressing extracellular matrix materials within the three-dimensional structure. Therefore, after being cultured for a period of time, the three-dimensional cell culture contains at least a portion of human intervertebral disc cells produced by cell proliferation within the three-dimensional structure.

In a typical procedure in accordance with the present invention, trypsinized monolayer cell cultures are assayed for cell viability and the required volume of cell suspension centrifuged and the medium aspirated off.

Regarding alginate, an alginate solution may be prepared by mixing a 1.2% solution of Keltone LV alginate (Kelco, San Diego, California) in 0.9% physiological saline and then sterilized. An appropriate volume of the sterile alginate solution is added to attain the desired cell/alginate suspension.

The three-dimensional cell structures are grown in multiwell plates. Inserts are placed in the bottom of each well. One type of insert that may be used is a Costar Transwell Clear Insert (Costar, Cambridge, Massachusetts). The alginate/cell suspension is added to the insert.

After the alginate/cell suspension is in place, a polymerizing solution is added to the well. The polymerizing solution may be one having a divalent cation. A preferred polymerizing solution is  $\text{CaCl}_2$ . The alginate/cell suspension is incubated in the polymerizing solution for four minutes and the solution aspirated out. Wells were rinsed with MEM with 20% FBS. The rinsing volume is allowed to stand for one minute, aspirated off, and the wells filled with MEM and cell stimulant (as described above) and regularly fed. Methods for disc cell growth in alginate are a modification of the methods described in Maldonado, B.A., Oegema, T.R., "Initial characterization of the metabolism of intervertebral disc cells encapsulated in microspheres," *J Orthopaedic Res*, 10, 677-690 (1992) and Guo, J., Jourdian, G.W., MacCallum, D.K., "Culture and growth characteristics of chondrocytes encapsulated in alginate beads," *Conn Tiss Res* 19:227-297 (1989).

The cells can be recovered from alginate by rinsing with NaCl followed by addition of a dissolving buffer, incubated until the alginate is dissolved, centrifuged and

rinsed again with NaCl. Cells can also be similarly recovered from agarose and other carrier types, as required. These cells, grown in this manner, may be implanted into the patient's disc as described below.

5     **Method of Treating Human Disc Diseases**

          In accordance with another aspect of the present invention, the human intervertebral disc cells obtained according to the present invention are used in treating disc diseases in human patients. In particular, the method of this invention can be used to treat patients having an area in the disc that is defective, e.g., damaged or degenerated.  
10    For example, the method of the present invention can be used in treating human disc diseases such as idiopathic scoliosis, disc degeneration, disc herniation, spinal stenosis, and the like.

          An embodiment of the method is outlined in Figure 1. Both cells in monolayer cell cultures and those propagated in three-dimensional structures as described  
15    above can be used in treating human diseases in accordance with this invention. It is preferable that autologous disc cells propagated *in vitro* according to the cell culture methods of this invention are used. To this end, healthy disc specimens are obtained from the patient to be treated. Explants are isolated from the specimens and cultured as described above to provided monolayer cell cultures. Cells in the monolayer cell cultures  
20    can be frozen and stored until use, or used directly for implantation. Alternatively, cells from the monolayer cell cultures can be further cultured in a three-dimensional structure so that the cells further propagate and, optionally, re-express extracellular matrix materials. The cells propagated in the three-dimensional structure can be recovered from the three-dimensional structure, i.e., separated from the carrier in the three-dimensional  
25    structure.

          Normally, the *in vitro* propagated human disc cells are loaded into an "implantation carrier." As used herein, the term "implantation carrier" means any materials or structures suitable as a transplantation carrier to facilitate the implantation, adhesion, migration, and survival and growth of the implanted cells. Implantation carriers  
30    are generally known in the art. For example, suitable implantation carrier can be in the form of a gel or a three-dimensional assembly formed from one or more biocompatible

materials, e.g., alginate, collagen, agarose, dextran, chondroitin, polyethylene glycol, etc. Hydrogels are known in the art and typically cells embedded in hydrogels can be implanted by, e.g., injecting the cell-containing hydrogel into the desired area in the patient. Alternatively, a three-dimensional construct with cells embedded therein can be implanted to the desired area in the patient. For example, *in vitro* propagated human disc cells can be seeded within a three-dimensional construct similar to the encapsulation membranes or porous matrices disclosed in, e.g., U.S. Patent Nos. 4,353,888, 4,487,758, and 4,902,295, all of which are incorporated herein by reference. Porous constructs can have an interconnected macroporous structure formed by one or more biocompatible materials which constitutes the skeleton of the structure. Growth factors and other signaling molecules can also be included in the hydrogel or construct for modifying cell adhesion, growth, or migration after implantation. Preferably, the biocompatible materials used in the implantation carrier is bioresorbable. Commercial products such as Gelfoam<sup>®</sup> from Pharmacia, and SeaPlaque or SeaPrep agarose from FMC Bioproducts, alginate from Kelco, DuraGen from Integra LifeSciences Corp., and Vitrogen 100<sup>®</sup> from Collagen Corporation can be used advantageously.

Normally, the three-dimensional structure used in propagating human disc cells as described above can be used as a three-dimensional construct for implantation purposes. Thus, after disc cells have proliferated and re-expressed extracellular matrix materials in the three-dimensional structure to a desired extent, the three-dimensional structure can be implanted directly to the desired area in the patient. To facilitate the implantation procedure, the three-dimensional structure can be converted into a desired shape or size before implantation by cutting or other techniques.

The implantation of *in vitro* propagated human disc cells, preferably in an implantation carrier, can be performed by any suitable procedures known in the art. For example, where debridement of the defect disc tissue is not required, the disc cells embedded in, e.g., an injectible hydrogel can be injected into the desired area of the intervertebral disc of the patient without invasive surgical procedures. However, very often, it is desirable to remove the diseased disc tissue before the implantation of the *in vitro* cultured disc cells. In that event, the diseased disc tissue can be surgically removed creating a cavity or void, and *in vitro* cultured human disc cells, preferably autologous

disc cells, in an implantation carrier are implanted in the removed area.

Surgical techniques for removing diseased disc tissues and for surgically implanting a three-dimensional construct in human intervertebral discs are known in the art and can all be used in the present invention. For example, Wood and Hanley, *Opera. Tech. Ortho.* 1:23-28 (1991) (which is incorporated herein by reference) discloses a laminectomy technique for removing herniated lumbar disc. Percutaneous discectomy techniques are disclosed in, e.g., U.S. Patent Nos. 4,545,374; 5,242,439; and RE 33,258, all of which are incorporated herein by reference. Various endoscopic/laparoscopic surgery instruments and techniques are described in, e.g., U.S. Patent Nos. 5,195,541; 5,201,729; 5,620,458; and 5,980,504, all of which are incorporated herein by reference.

After the removal of the diseased disc tissue, the *in vitro* propagated cells in an implantation carrier are implanted to the target area needing treatment. Implantation can be performed following the surgical debridement procedure while the target area for treatment is exposed or accessible. Alternatively, implantation can also be performed separately by, e.g., percutaneous procedures via posterior approaches, or anterior or oblique techniques. The implantation can be conducted by standard transplantation techniques, as will be clear to an ordinarily skilled artisan.

Particularly, the size and shape of the three-dimensional construct to be implanted containing the *in vitro* propagated human disc cells should be adapted to the target area of implantation such that the pressure, volume, degree of disc distention, and the like in the area are appropriate after the implantation. It is important to ensure that the implanted construct is such that the nerve root in the area is not compressed excessively.

The number of cells to be implanted can vary with the size of the area to be treated. The cell density in the implantation carrier should be selected such that the cells will be capable of surviving, growing, and differentiating to form a healthy tissue filling the void created by the diseased tissue or the removal of a disc tissue without causing any significant undesirable compression against any nerve root or other tissue structures in or near the implantation area. Typically, the cell density in the implantation carrier can be from about  $10^3$  to about  $10^8$  cells/mm<sup>3</sup>, preferably from about  $10^4$  to about  $10^7$  cells/mm<sup>3</sup> and more preferably about  $10^5$  to about  $10^6$  cells/mm<sup>3</sup>.

The implanted intervertebral disc cells are capable of surviving, growing,



and differentiating to form healthy disc tissues. As a result, the damaged disc tissue is replaced with a healthy disc tissue, and the disc disease is treated.

In the method of this invention, a small autologous healthy disc tissue from a patient needing treatment can be used to prepare healthy disc cells by *in vitro* cell culture. The disc cells can be re-implanted back to the diseased area in the patient. Because a large amount of healthy disc cells can be obtained with the *in vitro* cell culture methods, the surgical repair is not limited by the source of healthy disc cells and a sufficient number of healthy disc cells can be implanted to effectively attain the treatment objectives.

#### EXAMPLE 1

This example illustrates the growth of healthy disc cultures. Healthy disc tissue was obtained and rinsed with phosphate buffered saline at pH 7.4. The tissue was placed in modified Minimal Essential Medium (MEM) with Earle's salts (MEM, Gibco, Grand Island, N.Y.) with 1% (v/v) L-glutamine (Irvine Scientific, Santa Ana, California), 1% (v/v) penicillin-streptomycin (Irvine Scientific, Santa Ana, California), but without added serum. The disc tissue was incubated in three 15-minute rinses of MEM with 1% fungizone (Irvine Scientific) as a precaution against contamination of the cells during removal.

Regions of annulus and nucleus were visually identified and representative pieces of annulus and nucleus were dissected. Cartilaginous and vascular regions removed from tissue designated for culturing. The disc tissue to be cultured was minced with a scalpel into 1-2 mm square pieces, again rinsed twice with saline to remove clots or residual debris, placed into 35 mm culture dishes and anchored by placement of a sterile nylon mesh (Spectra Mesh, Spectra Laboratory Products) over the minced explant to provide a substrate for cell outgrowth.

A series of cultures were fed with varying amounts of cell stimulant. Minimal Essential Medium (MEM, Gibco) with Earle's salts and about 20% (v/v) of fetal bovine serum (FBS, Gibco, Grand Island, N.Y.) was added to the minced explant. The primary cultures were grown at a temperature of 37°C and 95% humidity under a blanket of 5% CO<sub>2</sub>. The primary cultures are fed with fetal bovine serum every two days.

Disc cells from both the annulus and nucleus grew well on the mesh lattice.



Cells from the disc grew slowly even in the presence of high (20%) FBS. Cells usually required up to a month from initiation of explants until P1 cultures could be established. Doubling time in the presence of 10% FBS was 6.2 days; in 20% FBS, 3.4 days, and in 25% FBS, 3.5 days. Cell viability, determined by trypan blue exclusion, averaged 96% on monolayer culture. A phase-contrast photomicrograph of cells growing out from a disc explant fragment onto a nylon mesh (X130) is shown in Figure 2A. In Figure 2B there is shown a scanning electron micrograph of cells spreading and extending processes onto the mesh.

When primary cultures showed a confluent outgrowth of cells from the nylon mesh, cultures were trypsinized (1:250, trypsin (0.5 g/l), EDTA (0.2 g/l))(Irvine Scientific, Santa Ana, California) and a split ratio of 1:4 used for further culturing.

## EXAMPLE 2

In this example, primary cell cultures were grown in alginate. Cells established in monolayer primary culture were seeded either into alginate layers on inserts (P1 cultures) or continued as monolayer P1 cultures which were subsequently split and used as the cell source for sequential P2, P3 or P4 passages seeded into alginate. Several cryofrozen cultures were thawed and expanded as P1 passages on monolayer with subsequent P2 and later passages seeded into alginate.

The alginate solution was prepared from a 1.2% solution of Keltone LV alginate (Kelco, San Diego, California) in 0.9% physiological saline with stirring for one hour. The alginate solution was sterilized by filtering through a 0.2  $\mu$ m bottle top filter fitted onto a 100 ml sterile bottle.

Trypsinized primary cell cultures were assayed for cell viability and the required volume of cell suspension centrifuged at 500 rpm for five minutes in an IEC MP4R centrifuge and the medium subsequently aspirated off. An appropriate volume of sterile 1.2% Keltone LV alginate solution was added to attain the desired cell/alginate suspension. Cells were mixed in the alginate by gentle thorough pipetting.

Costar Transwell Clear Inserts (Costar, Cambridge, Massachusetts) were placed in multiwell plates, and the desired amount of alginate/cell suspension was carefully added to the bottom of the insert well without formation of air bubbles. A

correctly prepared insert showed a fully covered filter with a meniscus formed in the insert. For a 24 well plate 50  $\mu$ L of alginate/cell suspension was added to each insert. After the alginate/cell suspension was in place, each insert was carefully lifted with sterile forceps and the desired volume of 102 mM  $\text{CaCl}_2$  polymerizing solution was added to the well. This volume covered the bottom of the filter, but was not enough to allow the  $\text{CaCl}_2$  solution to enter into the insert. The inserts were incubated in  $\text{CaCl}_2$  polymerizing solution for four minutes and the solution aspirated out. Wells were rinsed with MEM with 20% FBS (2.5 mL/well for 24 well plates).

The rinsing volume filled both well and insert, was allowed to stand for one minute, and was aspirated off. Four inserts were set up for each concentration at each time point (Days 6, 8 and 10). Each well was fed with MEM supplemented with TGF- $\beta$ 1(Sigma) at the following doses: control (no TGF- $\beta$ 1), 0.25, 0.50, 1.0, 2.5, and 5 ng/ml. These alginate cultures were grown for ten days with feeding three times per week. Cell proliferation data, expressed as total [ $^3\text{H}$ ]thymidine uptake/ $\mu$ g DNA, were obtained on days 6, 8, and 10.

The cells were removed from the alginate by rinsing the wells twice with 0.15 M  $\text{NaCl}_2$  (2.5 mL/well for 24 well plates) by lifting the insert and pipetting into the well. The rinse solution was incubated for one minute, and was aspirated off via the solution under the insert. The solution within the insert was not aspirated off. After the second rinse, the remaining liquid from the insert was wicked off by gently touching a twisted sterile gauze square to the side of the tipped well. Three times the volume of the alginate in dissolving buffer (55 mM sodium citrate and 0.15 M  $\text{NaCl}_2$ ) was added to the inside of the insert and plates incubated at 37°C. for ten minutes with shaking. Contents of the insert were gently pipetted to ensure complete dissolution of the alginate.

At the end of ten days, medium was withdrawn and cultures fixed with 1% neutral buffered formalin for five minutes, the insert carefully cut from the plastic holder and wrapped gently in lens paper, placed in a tissue cassette in 70% ethanol and processed using the short cycle run on a paraffin processor (Shandon Lipshaw Hypercenter XP, Pittsburgh, Pennsylvania). Morphologic studies of cells grown in these three-dimensional microenvironments show that cells lay in a lacunar space with matrix deposited between and around the disc cells (Figure 3A). Electron microscopic examination revealed that

matrix material consisted of proteoglycans and banded and non-banded collagen (Figure 3B). As shown in Figure 3B, disc cells grown in the three-dimensional structure assumed a rounded morphology and formed multicelled colonies with successive cell divisions. Two colonies adjacent to each other are shown in Figure 3B.

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### EXAMPLE 3

Adjacent sections of three-dimensional cultures from Example 2 cut *en face* were collected and utilized for immunohistochemical localization of rabbit anti-human collagen Type I or rabbit anti-human collagen Type II (Biodesign International, Kennebunk, Maine), monoclonal anti-proteoglycan delta DI-4S (ICN, Costa Mesa, California) or monoclonal anti-keratin sulfate (Seikagaku Corp., Tokyo, Japan).

Negative controls were incubated minus primary antibodies. Localization utilized DAB colorimetric visualization of extracellular matrix constituents. Cells or colonies were scored for positive immunohistochemical localizations using a Nikon microscope (20X objective) and OsteoMeasure computer software (OsteoMetrics, Inc., Atlanta, Georgia). The mean number of cells or colonies assessed for each localization was: Type I collagen: 272; Type II collagen: 260; proteoglycan delta DI-4S, 241, and keratin sulfate, 201. Nine surgical and two normal series of cells were studied in this experiment. Passages 1 through 4 were evaluated for cells from one specimen and one normal; passage number 3 was studies for normal; passages 1 through 3 for two specimens; passages 2 through 3 from three specimens; passages 2 through 4 in one, and passages 2 through 5 in two specimens. Figures 5A and 5B show the proportion of colonies positive for the presence of Type I or Type II collagen, 4-5 chondroitin sulfate or keratin sulfate.

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### Statistical Analysis

Data are presented as mean  $\pm$  SEM. SAS<sup>®</sup> (version 6.11, SAS Institute, Inc., Cary, North Carolina) software was utilized for data analysis. Standard statistical methods were employed. Paired t-test were used to compare means of frozen and non-frozen matrix scoring. To detect potential bias between frozen and non-frozen groups with respect to both passage 2 and passage 3 results (examined separately), unpaired t-

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tests were used. A repeated measures analysis of variance was performed to test for differences over time (passages 2, 3 and 4). All tests were two-sided, and P values  $\leq 0.05$  were considered statistically significant.

5     **Immunohistochemical Characterization**  
      **of Cells Grown in Alginate and Quantitative**  
      **Scoring of Matrix in Cells and Colonies**

10       Cells from the annulus were characterized using sequential passages of cells seeded into alginate over passages 1 through 5. Representative micrographs showing localization of Type I or Type II collagen or proteoglycan D1-4S or keratin sulfate are shown in Figure 4. The micrographs illustrated in Figure 4 show sequential serial sections with localizations of types I, II, III, and VI collagen, 4-S-chondroitin sulfate, and Keratin sulfate. Positive immunoreactivity is shown by dark staining DAB reaction product (X100).

15       As shown in Table 1, both non-frozen and cryo-frozen cells have been analyzed in the present study. Table 1 presents data which were analyzed by paired t-tests since the same cultures were followed through sequential passages. There were no statistically significant differences in the mean percentages of frozen and non-frozen cells with respect to the various extracellular matrix components.

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**Table 1. Summary of Quantitative Cell/Colony Scoring of Immunolocalized Extracellular Matrix Components in Non-Frozen and Frozen Cultures\***

	Passage 1	Passage 2**	Passage 3***
<b>Non-Frozen Cultures:</b>			
Type I Collagen (n=4)	94.7±2.7	96.3±1.2	97.3±1.0
Type II Collagen (n=4)	85.3±1.6	82.5±4.0	86.6±1.6
Proteoglycan delta DI-4S (n=4)	89.4±2.8	85.5±1.6	93.8±4.3
Keratin sulfate (n=4)	82.9±5.3	84.8±2.1	86.0±3.3
<b>Frozen Cultures:</b>			
Type I Collagen	--	93.3±1.0	94.0±3.8
Type II Collagen	--	87.5±2.2	92.0±2.0
Proteoglycan delta DI-4S	--	91.9±2.2	94.4±2.3
Keratin sulfate	--	82.4±3.3	86.2±2.9

\* Data are mean % ± SEM. The total number of colonies or cells scored in each analysis ranged from 201 to 272.

\*\* n=6 for P2 for frozen cultures

\*\*\* n=7 for P3 for frozen cultures

Figure 5 presents pooled data for frozen and non-frozen cells studied from passages 1 through 5. These data were also evaluated using paired t-tests of passages 2 and 3 and of 2 and 4, respectively. There were no statistically significant differences between the mean of passage 2 and those of both passage 3 and 4, with respect to Type I collagen, Type II collagen and keratin sulfate. Passage 3 was found to have a significantly higher (P=0.02) mean incidence of proteoglycan D1-4S than did passage 2.

A repeated measures analysis of variance was also applied to the data in Figure 5 which used five observations (data for all of passages 2, 3 and 4). No statistically significant changes over the course of passages 2, 3 or 4 were identified for the incidence of the four extracellular matrix components studied.

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#### EXAMPLE 4

In this experiment, cells were harvested from sand rat #XFGR020, aged 9 months, from a single lumbar vertebral disc site. Cells were grown through primary explant and P1 passages (for about 3 months) at which time they were labeled in monolayer culture with BrdU ( $10^{-4}$  mol/l), rinsed in buffer, trypsinized, centrifuged, resuspended in 9  $\mu$ l buffer, and inserted into a 2x2 mm section of Gelfoam<sup>®</sup> (Gelfoam Sterile Sponge, an absorbable gelatin sterile sponge, Pharmacia & Upjohn) presoaked in Minimal Essential Media. 4,000 cells were seeded onto the Gelfoam. A second lumbar disc site was surgically opened, and disc material was gently extracted to make a cavity. The site showed the following radiologic signs of early degeneration: moderate wedging, moderate narrowing of the disc space, irregular disc margins and endplate calcification. Gelfoam with cells loaded therein was placed inside the cavity. The animal was euthanized after about 33 weeks and examined. Autologous cells were engrafted into the donor animal at a disc site. Figures 6A, 6B, 7A, and 7B show photomicrographs of the disc into which cells were engrafted and the cartilage endplates of the two adjacent vertebral bodies. Note the black-staining cells which are positive for BrdU immunocytochemical localization. This staining marks autologous disc cells which were engrafted back into the donor animals. In Figure 6A, a low magnification view shows the central portion of the disc and to the right and left are seen the vertebral end plates of adjacent vertebrae. Arrows mark the BrdU positive cells which were engrafted or which are descendents of engrafted cells. These cells in the central region are seen to lie in large lacunae (Figure 6B).

Engrafted BrdU-stained cells were seen to exhibit both a chondrocyte-like phenotype in the central region of the disc (Figure 6B) or a more spindle-shaped morphology in the outer regions of the annulus (Figure 7B).

### EXAMPLE 5

Another 9-month old sand rat was used in an experiment similar to that described in Experiment 4. Cells from the first disc surgery were expanded for 6 weeks in primary culture, labeled with BrdU, placed in Gelfoam®, and engrafted to a different lumbar disc site. The engraftment site was marked with a vascular clamp at time of surgical closure. The animal was euthanized 33 weeks after the re-implantation surgery. Figure 8 shows the radiologic features at time of euthanization 33 weeks after re-implantation of cells. The disc into which cells were engrafted lies just above the oval metal vascular clamp. Moderate disc wedging and some subchondral sclerosis in the vertebrae are apparent; otherwise, this procedure is well-tolerated in a healthy animal. The first disc surgery site, from which tissue was harvested for growth of cells, lies to the left of oval vascular clamp. The disc site into which cells were engrafted lied directly above the surgical clamp.

Many modifications and other embodiments of the invention will come to mind to one skilled in the art to which this invention pertains having the benefit of the teachings presented in the foregoing descriptions and the associated drawings. Therefore, it is to be understood that the invention is not to be limited to the specific embodiments disclosed and that modifications and other embodiments are intended to be included within the scope of the appended claims. Although specific terms are employed herein, they are used in a generic and descriptive sense only and not for purposes of limitation.

THAT WHICH IS CLAIMED:

1. A human intervertebral disc cell culture comprising human intervertebral disc cells embedded in a carrier material forming a three-dimensional structure, wherein said human intervertebral disc cells are capable of proliferating within said three-dimensional structure.

2. The human intervertebral disc cell culture of Claim 1, wherein said carrier material is selected from the group consisting of alginate, agarose, collagen, and derivatives and mixtures thereof.

3. The human intervertebral disc cell culture of Claim 1, wherein said human intervertebral disc cells are capable of re-expressing extracellular matrix materials.

4. The human intervertebral disc cell culture of Claim 1, further comprising cell culture medium in intimate contact with said three-dimensional structure.

5. The human intervertebral disc cell culture of Claim 1, wherein said three-dimensional structure is placed in a cell well insert.

6. The human intervertebral disc cell culture of Claim 1, wherein said human intervertebral disc cell culture is prepared by a method comprising the steps of:

a) providing a minced human intervertebral disc tissue explant comprising human intervertebral disc cells;

b) culturing said minced explant under conditions to propagate and form a monolayer of human intervertebral disc cells, wherein the human intervertebral disc cells of said monolayer can be isolated and further propagated upon passaging;

c) isolating said human intervertebral disc cells from said monolayer;

d) seeding the isolated cells in a carrier material such that the isolated cells are dispersed and distributed in the carrier thereby forming a three-dimensional structure; and



e) culturing said dispersed and distributed cells in the three-dimensional structure.

7. A human intervertebral disc cell culture comprising human  
5 intervertebral disc cells embedded in a carrier material forming a three-dimensional structure, wherein at least a portion of said human intervertebral disc cells are produced by cell proliferation within said three-dimensional structure.

8. The human intervertebral disc cell culture of Claim 7, wherein said  
10 carrier material is selected from the group consisting of alginate, agarose, collagen, and derivatives and mixtures thereof.

9. The human intervertebral disc cell culture of Claim 7, wherein at  
15 least a portion of said human intervertebral disc cells have re-expressed extracellular matrix materials.

10. A therapeutic composition for use in treating human disc diseases  
comprising an implantation carrier in admixture with *in vitro* propagated human  
intervertebral disc cells, said disc cells being obtain from a monolayer human  
20 intervertebral disc cell culture prepared by a method comprising the steps of:

a) providing a minced human intervertebral disc tissue explant comprising  
human intervertebral disc cells; and

b) culturing said minced explant under conditions to propagate and form a  
monolayer of human intervertebral disc cells, wherein the human intervertebral disc cells  
25 of said monolayer can be isolated and further propagated upon passaging.

11. The therapeutic composition of Claim 10, wherein said  
implantation carrier comprises alginate, agarose, collagen, or a derivative or mixture  
thereof.

12. The therapeutic composition of Claim 10, wherein said

implantation carrier is in the form of hydrogel.

13. The therapeutic composition of Claim 10, wherein said implantation carrier is in the form of a three-dimensional assembly.

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14. A therapeutic composition for use in treating human disc diseases comprising an implantation carrier in admixture with *in vitro* propagated human intervertebral disc cells, said disc cells being obtain from a three-dimensional human intervertebral disc cell culture prepared by a method comprising the steps of:

10

a) providing a minced human intervertebral disc tissue explant comprising human intervertebral disc cells;

b) culturing said minced explant under conditions to propagate and form a monolayer of human intervertebral disc cells, wherein the human intervertebral disc cells of said monolayer can be isolated and further propagated upon passaging;

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c) isolating the human intervertebral disc cells from said monolayer;

d) seeding the isolated cells in a carrier material such that the isolated cells are dispersed and distributed in the carrier material forming a three-dimensional structure; and

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e) culturing said dispersed and distributed cells in the three-dimensional structure.

15. The therapeutic composition of Claim 14, wherein said implantation carrier comprises alginate, agarose, collagen, or a derivative or mixture thereof.

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16. The therapeutic composition of Claim 14, wherein said implantation carrier is in the form of hydrogel.

17. The therapeutic composition of Claim 14, wherein said implantation carrier is in the form of a three-dimensional assembly.

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18. The therapeutic composition of Claim 14, wherein at least a portion of said *in vitro* propagated human intervertebral disc cells have re-expressed extracellular matrix materials.

5 19. A method for treating an intervertebral disc disease in a human patient, comprising implanting *in vitro* propagated human intervertebral disc cells into a target disc area needing treatment in said human patient.

10 20. The method of Claim 19, wherein said *in vitro* propagated human intervertebral disc cells are provided by a process including the steps of:

a) obtaining a healthy human intervertebral disc tissue;  
b) mincing said human intervertebral disc tissue to obtain a minced explant comprising human intervertebral disc cells; and

15 c) culturing said minced explant under conditions to propagate and form a monolayer culture of human intervertebral disc cells, wherein the human intervertebral disc cells of said monolayer can be isolated and further propagated upon passaging.

20 21. The method of Claim 20, wherein said disc specimen is obtained from said human patient to be treated.

22. The method of Claim 20, wherein said minced explant in step(c) is anchored by a plastic mesh.

25 23. The method of Claim 20, wherein said minced explant is cultured in the presence of serum, growth factors or cytokines.

24. The method of claim 20, wherein said minced explant is cultured in the presence of transforming growth factor beta (TGF- $\beta$ ).

30 25. The method of Claim 20, wherein said process further include *in vitro* propagated human intervertebral disc cells are provided by a process including the

steps of:

- a) providing said human intervertebral disc tissue to obtain a minced explant comprising human intervertebral disc cells;
- b) culturing said minced explant under conditions to propagate and form a monolayer of human intervertebral disc cells, wherein the human intervertebral disc cells of said monolayer can be isolated and further propagated upon passaging;
- c) isolating said human intervertebral disc cells from said monolayer;
- d) seeding the isolated cells in a carrier material such that the isolated cells are dispersed and distributed in the carrier material forming a three-dimensional structure;
- and
- e) culturing said dispersed and distributed cells in the three-dimensional structure.

26. The method of Claim 25, wherein said disc specimen is obtained from said human patient to be treated.

27. The method of claim 25, wherein said minced explant in step (b) is anchored by a plastic mesh.

28. The method of claim 25, wherein said three-dimensional structure is formed in a cell well insert.

29. The method of claim 25, wherein said carrier material is selected from the group consisting of alginate, agarose, collagen, and derivatives and mixtures thereof.

30. The method of Claim 25, wherein said three-dimensional structure is formed in a cell well insert.

31. The method of Claim 25, wherein said three-dimensional structure is implanted into the target disc area.

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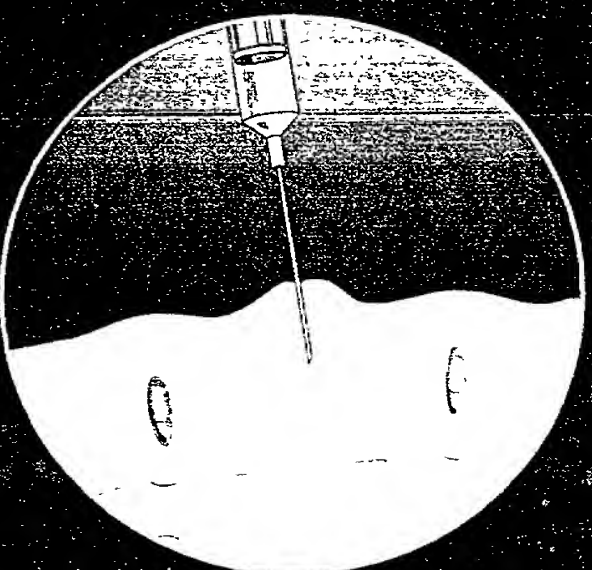

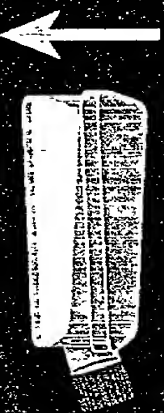
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ABSTRACT

There is provided a method for growing human intervertebral cells. Disc tissue is surgically removed from a normal disc of a patient, the cells expanded by  
5 feeding with a cell stimulant such as a growth factor, or a cytokine or a bioactive agent to form monolayer primary cell cultures on a plastic mesh such as a nylon mesh. In the case of a growth factor, fetal bovine serum is preferred as it improves cell proliferation and production of appropriate extracellular matrix components. In another aspect of this invention, the monolayer primary cell cultures are seeded in alginate or agarose and fed  
10 again with the cell stimulant until three-dimensional cell cultures are formed. The cells are recovered from the alginate or agarose or from monolayer cultures. Re-implantation is carried out using bioresorbable carriers or cell suspensions.

#4405932v1

# Establish Explant Culture



# Expose to Pressure in Three-Dimensional

# Culture

## Enhance Cell Number and Function with Bioactive Agents/Cytokines



	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099	2100	2101	2102	2103	2104	2105	2106	2107	2108	2109	2110	2111	2112	2113	2114	2115	2116	2117	2118	2119	2120	2121	2122	2123	2124	2125	2126	2127	2128	2129	2130	2131	2132	2133	2134	2135	2136	2137	2138	2139	2140	2141	2142	2143	2144	2145	2146	2147	2148	2149	2150	2151	2152	2153	2154	2155	2156	2157	2158	2159	2160	2161	2162	2163	2164	2165	2166	2167	2168	2169	2170	2171	2172	2173	2174	2175	2176	2177	2178	2179	2180	2181	2182	2183	2184	2185	2186	2187	2188	2189	2190	2191	2192	2193	2194	2195	2196	2197	2198	2199	2200	2201	2202	2203	2204	2205	2206	2207	2208	2209	2210	2211	2212	2213	2214	2215	2216	2217	2218	2219	2220	2221	2222	2223	2224	2225	2226	2227	2228	2229	2230	2231	2232	2233	2234	2235	2236	2237	2238	2239	2240	2241	2242	2243	2244	2245	2246	2247	2248	2249	2250	2251	2252	2253	2254	2255	2256	2257	2258	2259	2260	2261	2262	2263	2264	2265	2266	2267	2268	2269	2270	2271	2272	2273	2274	2275	2276	2277	2278	2279	2280	2281	2282	2283	2284	2285	2286	2287	2288	2289	2290	2291	2292	2293	2294	2295	2296	2297	2298	2299	2300	2301	2302	2303	2304	2305	2306	2307	2308	2309	2310	2311	2312	2313	2314	2315	2316	2317	2318	2319	2320	2321	2322	2323	2324	2325	2326	2327	2328	2329	2330	2331	2332	2333	2334	2335	2336	2337	2338	2339	2340	2341	2342	2343	2344	2345	2346	2347	2348	2349	2350	2351	2352	2353	2354	2355	2356	2357	2358	2359	2360	2361	2362	2363	2364	2365	2366	2367	2368	2369	2370	2371	2372	2373	2374	2375	2376	2377	2378	2379	2380	2381	2382	2383	2384	2385	2386	2387	2388	2389	2390	2391	2392	2393	2394	2395	2396	2397	2398	2399	2400	2401	2402	2403	2404	2405	2406	2407	2408	2409	2410	2411	2412	2413	2414	2415	2416	2417	2418	2419	2420	2421	2422	2423	2424	2425	2426	2427	2428	2429	2430	2431	2432	2
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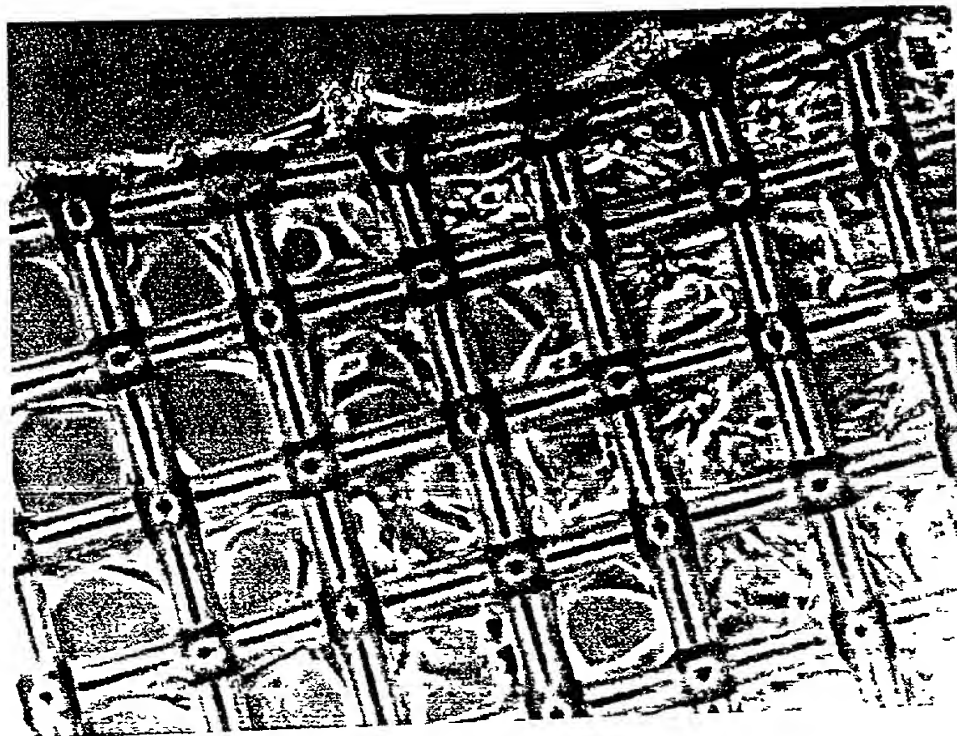


FIG. 2A.

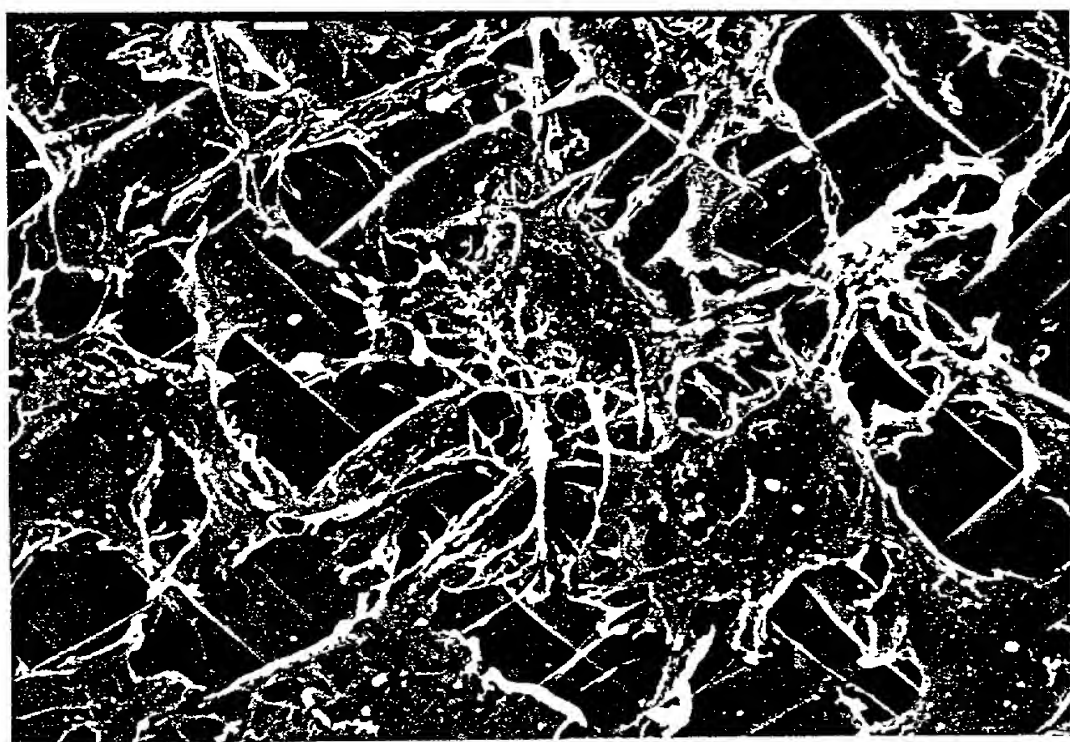
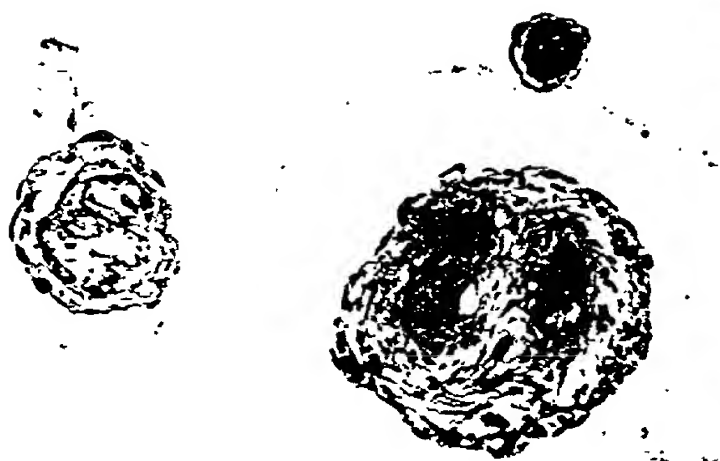


FIG. 2B.

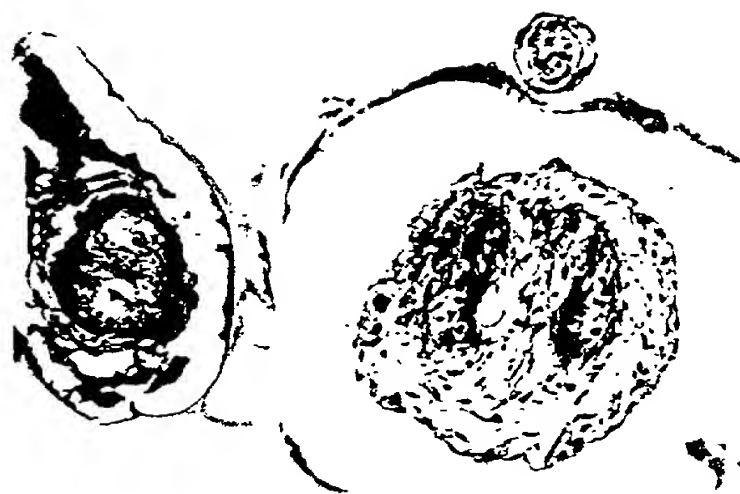


A high-contrast, black and white photograph showing a dense, textured surface. The image is filled with a complex, organic pattern of sharp, diagonal lines and irregular shapes, creating a sense of depth and movement. The lighting is dramatic, with deep shadows and bright highlights that emphasize the rough, uneven texture. The overall effect is one of intense, almost abstract natural beauty.

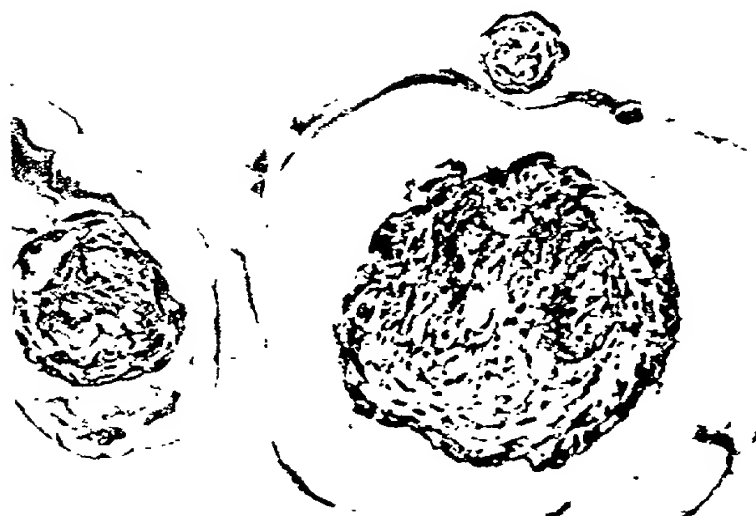
**FIG. 3B.**



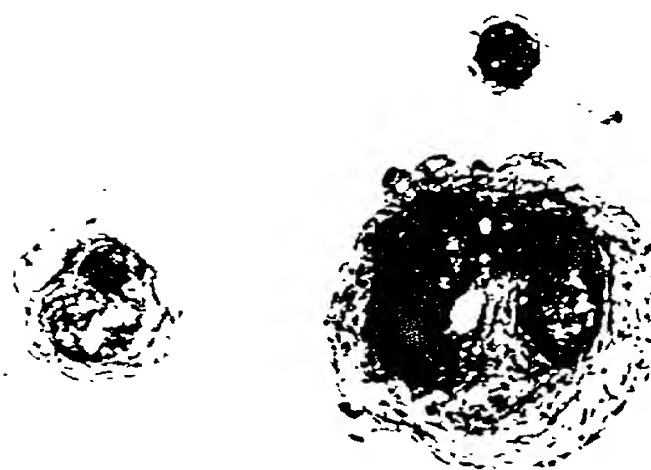
**Type I Collagen**



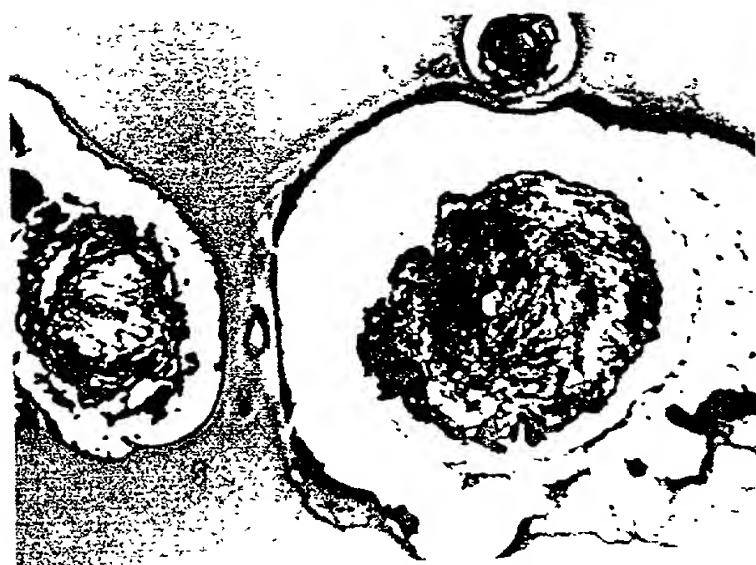
**Type II Collagen**



**Type III Collagen**



**Type VI Collagen**



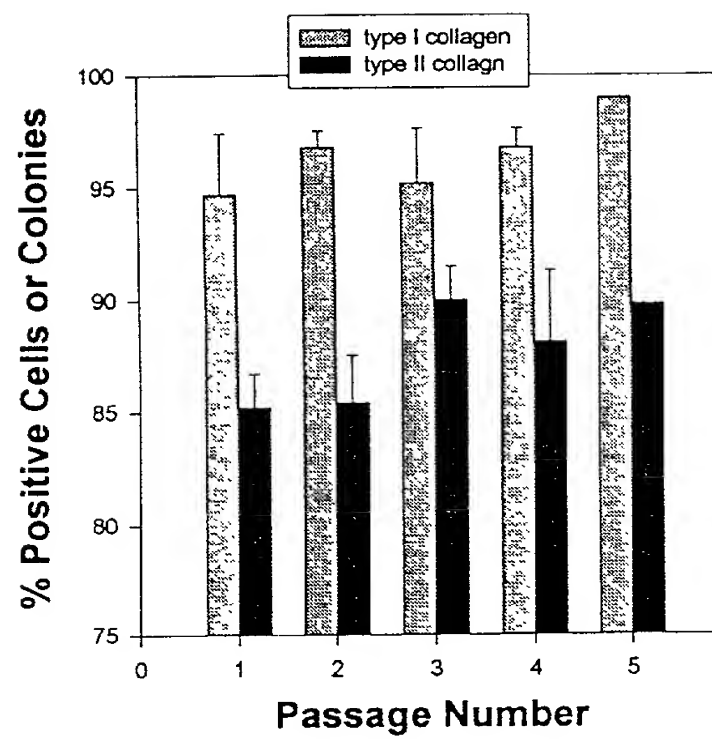
**4-S-Chondroitin  
Sulfate**



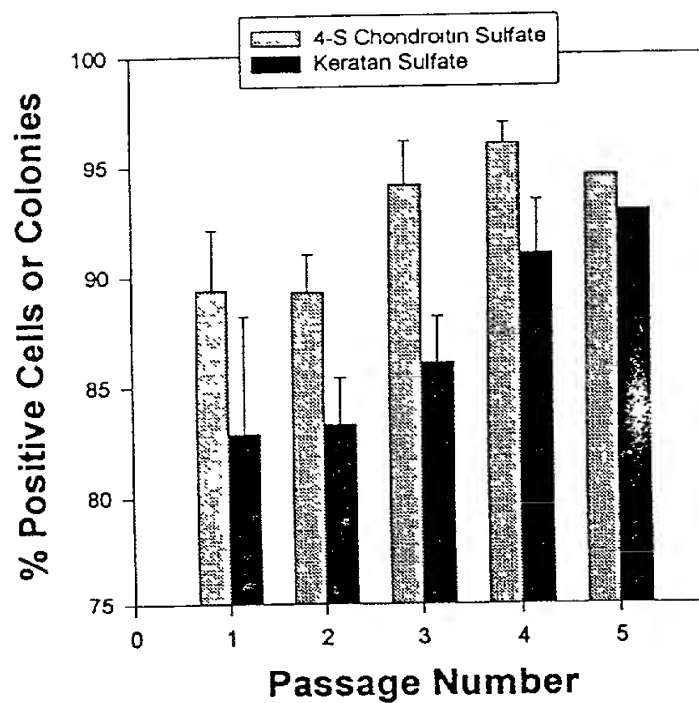
**Keratan Sulfate**

**FIG. 4.**

0022-0348/88/0009-0000



**FIG. 5A.**



**FIG. 5B.**

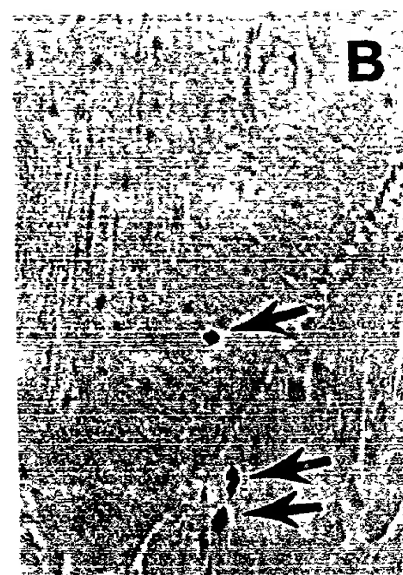


FIGURE 7



FIGURE 8



# DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

Attorney Docket No. 8151-24A

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHOD FOR PRODUCING HUMAN INTERVERTEBRAL DISC CELLS,

the specification of which

☒ is attached hereto

OR

☐ was filed on      as United States Application No. or PCT International Application Number

and was amended on      (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

			<input type="checkbox"/> Yes <input type="checkbox"/> No
Number	Country	MM/DD/YYYY Filed	Priority Claimed
			<input type="checkbox"/> Yes <input type="checkbox"/> No
Number	Country	MM/DD/YYYY Filed	Priority Claimed
			<input type="checkbox"/> Yes <input type="checkbox"/> No
Number	Country	MM/DD/YYYY Filed	Priority Claimed

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

Application Number	Filing Date (MM/DD/YYYY)
Application Number	Filing Date (MM/DD/YYYY)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application (37 C.F.R. § 1.63(d)).

08/979,674	11/26/1997	Pending
Application No.	Filing Date	Status Patented/Pending/Abandoned
Application. No.	Filing Date	Status Patented/Pending/Abandoned
Application No.	Filing Date	Status Patented/Pending/Abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

08/979,674

POWER OF ATTORNEY: As a named inventor, I hereby appoint the practitioners associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to that Customer Number:

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